

UNITED STATES OF AMERICA  
NATIONAL CREDIT UNION ADMINISTRATION  
Alexandria, Virginia

\_\_\_\_\_  
In the Matter of )  
 )  
 )  
Kathleen Maheux )  
 )  
\_\_\_\_\_ )

Docket No.: 13-0059-R1

**NOTICE OF PROHIBITION**

WHEREAS on or about September 6, 2012, Kathleen Maheux ("Maheux") entered a plea of guilty to the charge of Theft by Unauthorized Taking or Transfer, Me. Rev. Stat. Ann. tit. 17-A, § 353(1)(B)(4), in the Androscoggin County Superior Court of the State of Maine, in connection with her employment at Rainbow Federal Credit Union;

WHEREAS a violation of Me. Rev. Stat. Ann. tit. 17-A, § 353(1)(B)(4) is a criminal offense involving dishonesty and breach of trust;

NOW, THEREFORE, YOU ARE HEREBY NOTIFIED THAT:

1. Pursuant to 12 U.S. C. § 1785(d)(1)(A) and 12 U.S.C. § 1829(a)(1)(A), Maheux is prohibited from becoming an "institution affiliated party" of any insured depository institution, as defined in 12 U.S.C. § 1786(r); otherwise participating, directly or indirectly, in the conduct of the affairs of any insured depository institution; and owning or controlling, directly or indirectly, any insured depository institution;

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2. Pursuant to 12 U.S. C. § 1785(d)(1)(B) and 12 U.S.C. § 1829(a)(1)(B), no insured depository institution may permit Maheux to engage in any conduct or continue in any relationship prohibited in paragraph 1 above;

3. Pursuant to 12 U.S.C. § 1785(d)(3) and 12 U.S.C. § 1829(b), whoever knowingly violates paragraph 1 or 2 above is subject to a fine of not more than \$1 million for each day such prohibition is violated, or imprisonment for not more than five (5) years, or both;

4. The "Docket Record" document, Docket No. AUBSC-CR-2012-00510, is made a part hereof and incorporated herein by reference; and

5. This Notice of Prohibition shall be effective and enforceable on the date set forth below.

IT IS SO ORDERED this 30<sup>th</sup> day of July, 2013.

**NATIONAL CREDIT UNION ADMINISTRATION BOARD**

By: \_\_\_\_\_

  
Lawrence Blankenberger  
Regional Director  
NCUA Region I

STATE OF MAINE  
vs  
KATHLEEN P MAHEUX  
25 PAGE ROAD  
POLAND ME 04274

SUPERIOR COURT  
ANDROSCOGGIN, ss.  
Docket No AUBSC-CR-2012-00510

DOCKET RECORD

DOB: 10/26/1979

Attorney: STEPHEN BROCHU  
LAW OFFICE OF STEPHEN BROCHU  
PO BOX 849  
BRUNSWICK ME 04011  
RETAINED 05/21/2012

State's Attorney: NORMAN CROTEAU

Filing Document: INDICTMENT  
Filing Date: 05/09/2012

Major Case Type: FELONY (CLASS A,B,C)

Charge(s)

1 THEFT BY UNAUTHORIZED TAKING OR TRANSFER 07/23/2011 MECHANIC FALLS  
Seq 8427 17-A 353(1)(B)(4) Class C  
GOSS / MEF

Docket Events:

05/10/2012 FILING DOCUMENT - INDICTMENT FILED ON 05/09/2012

TRANSFER - BAIL AND PLEADING GRANTED ON 05/09/2012

TRANSFER - BAIL AND PLEADING REQUESTED ON 05/09/2012

05/10/2012 Charge(s): 1

HEARING - ARRAIGNMENT SCHEDULED FOR 05/31/2012 at 08:30 a.m.

05/10/2012 SUMMONS/SERVICE - SUMMONS TO APPEAR FOR ARRAIGN ISSUED FOR 05/11/2012

05/18/2012 OTHER FILING - WITNESS LIST FILED BY STATE ON 05/14/2012

05/22/2012 Party(s): KATHLEEN P MAHEUX

ATTORNEY - RETAINED ENTERED ON 05/21/2012

Attorney: STEPHEN BROCHU

05/31/2012 Charge(s): 1

HEARING - ARRAIGNMENT HELD ON 05/31/2012

MARYGAY KENNEDY, JUDGE

Attorney: STEPHEN BROCHU

DA: NICHOLAS WORDEN

Defendant Present in Court

READING WAIVED. DEFENDANT INFORMED OF CHARGES. COPY OF INDICTMENT/INFORMATION GIVEN TO DEFENDANT. 21 DAYS TO FILE MOTIONS; ER TAP 830 INDEX 1231

05/31/2012 Charge(s): 1

PLEA - NOT GUILTY ENTERED BY DEFENDANT ON 05/31/2012

05/31/2012 BAIL BOND - PR BAIL BOND SET BY COURT ON 05/31/2012

05/31/2012 BAIL BOND - PR BAIL BOND FILED ON 05/31/2012

Date Bailed: 05/31/2012  
CONDITIONS

07/03/2012 MOTION - MOTION TO SUPPRESS STATEMENT FILED BY DEFENDANT ON 06/26/2012

08/08/2012 HEARING - TRIAL MANAGEMENT CONFERENCE SCHEDULED FOR 09/04/2012 at 09:30 a.m.

09/04/2012 BAIL BOND - PR BAIL BOND BAIL RELEASED ON 09/04/2012

Date Bailed: 05/31/2012  
CONDITIONS

09/04/2012 BAIL BOND - PR BAIL BOND FILED ON 09/04/2012

Date Bailed: 09/04/2012  
CONDITIONS

09/05/2012 BAIL BOND - PR BAIL BOND RELEASE ACKNOWLEDGED ON 09/05/2012

Date Bailed: 05/31/2012  
CONDITIONS

09/06/2012 MOTION - MOTION TO SUPPRESS STATEMENT MOOT ON 09/04/2012

09/06/2012 HEARING - TRIAL MANAGEMENT CONFERENCE NOT HELD ON 09/04/2012

09/06/2012 HEARING - RULE 11 HEARING HELD ON 09/04/2012

READING WAIVED

09/06/2012 Charge(s): 1  
PLEA - GUILTY ENTERED BY DEFENDANT ON 09/04/2012

09/06/2012 Charge(s): 1  
PLEA - GUILTY ACCEPTED BY COURT ON 09/04/2012

09/06/2012 Charge(s): 1  
ORDER - DEFERRED DISPOSITION ENTERED ON 09/04/2012  
MARYGAY KENNEDY , JUDGE  
Attorney: STEPHEN BROCHU  
DA: ANDREW ROBINSON  
Defendant Present in Court

24 MONTHS TAPE 880 INDEX 1775

**Conditions of Deferred Disposition:**

Appear in the court on the date and time notified to appear.

Refrain from all criminal conduct and violation of federal, state, and local laws.

Identify self as being on deferred disposition if arrested or questioned by law enforcement and notify the District Attorney's Office in writing of any contact with law enforcement within 96 hours of that contact.

Advise the court of any change in address or telephone number within 24 hours of the change.

Comply with all conditions of the Bail Order.

Other: \$150 SUPERVISION FEES DUE BY 2/28/13 COMPLETE 100 HOURS PUBLIC SERVICE WORK AND SHOW  
PROOF OF 50 HOURS BY 9/1/13 AND 50 HOURS BY 8/1/14  
09/06/2012 BAIL BOND - PR BAIL BOND SET BY COURT ON 09/04/2012

ABIDE BY CONDITIONS OF DEFERRED DISPOSITION  
09/06/2012 HEARING - DISPOSITIONAL HEARING SCHEDULED FOR 09/03/2014 at 01:00 p.m.

NOTICE TO PARTIES/COUNSEL

A TRUE COPY

ATTEST: \_\_\_\_\_

Clerk