

**UNITED STATES OF AMERICA  
NATIONAL CREDIT UNION ADMINISTRATION  
Alexandria, Virginia**

\_\_\_\_\_  
In the Matter of )  
 )  
Donald Battles )  
\_\_\_\_\_ )

Docket No.: 15-0092-R5

**NOTICE OF PROHIBITION**

WHEREAS on or about March 9, 2015, Donald Battles (“Battles”) was sentenced on the charge of Elder Theft, Cal. Pen. Code. § 368(d), in connection with his employment at Rancho Federal Credit Union, in the Superior Court of California, County of Los Angeles;

WHEREAS a violation of Cal. Pen. Code. § 368(d) is a criminal offense involving dishonesty and breach of trust;

NOW, THEREFORE, YOU ARE HEREBY NOTIFIED THAT:

1. Pursuant to 12 U.S. C. § 1785(d)(1)(A) and 12 U.S.C. § 1829(a)(1)(A), Battles is prohibited from becoming an “institution affiliated party” of any insured depository institution, as defined in 12 U.S.C. § 1786(r); otherwise participating, directly or indirectly, in the conduct of the affairs of any insured depository institution; and owning or controlling, directly or indirectly, any insured depository institution;
2. Pursuant to 12 U.S. C. § 1785(d)(1)(B) and 12 U.S.C. § 1829(a)(1)(B), no insured depository institution may permit Battles to engage in any conduct or continue in any relationship prohibited in paragraph 1 above;

3. Pursuant to 12 U.S.C. § 1785(d)(3) and 12 U.S.C. § 1829(b), whoever knowingly violates paragraph 1 or 2 above is subject to a fine of not more than \$1 million for each day such prohibition is violated, or imprisonment for not more than five (5) years, or both;

4. The "Minute Order" document, Case No. BA399988, is made a part hereof and is incorporated herein by reference; and

5. This Notice of Prohibition shall be effective and enforceable on the date set forth below.

IT IS SO ORDERED this 10<sup>th</sup> day of July, 2015.

**NATIONAL CREDIT UNION ADMINISTRATION BOARD**

By: Elizabeth A. Whitehead  
Elizabeth Whitehead  
Regional Director  
NCUA Region V

MINUTE ORDER  
SUPERIOR COURT OF CALIFORNIA, COUNTY OF LOS ANGELES

DATE PRINTED: 05/21/15

-----  
CASE NO. BA399988

THE PEOPLE OF THE STATE OF CALIFORNIA  
VS.  
DEFENDANT 01: DONALD BATTLES

-----  
NUNC PRO TUNC ORDER PREPARED. IT APPEARING TO THE COURT THAT THE MINUTE ORDER  
IN THE ABOVE ENTITLED ACTION DOES NOT PROPERLY REFLECT THE COURT'S ORDER. SAID  
MINUTE ORDER IS AMENDED NUNC PRO TUNC AS OF THAT DATE. ALL OTHER ORDERS ARE TO  
REMAIN IN FULL FORCE AND EFFECT. DETAILS LISTED AT END OF THIS MINUTE ORDER.

INFORMATION FILED ON 10/24/12.

COUNT 01: 368(D) PC FEL  
COUNT 02: 487(A) PC FEL

ON 03/09/15 AT 830 AM IN CENTRAL DISTRICT DEPT 120

CASE CALLED FOR PROBATION AND SENTENCE HEARING

PARTIES: CRAIG RICHMAN (JUDGE) GABRIELA LOPEZ (CLERK)  
BROOKE BRUBAKER (REP) JOHN POMEROY (DA)

DEFENDANT IS PRESENT IN COURT, AND REPRESENTED BY DAVID RICE DEPUTY PUBLIC  
DEFENDER

DEFENDANT WAIVES ARRAIGNMENT FOR JUDGMENT AND STATES THERE IS NO LEGAL CAUSE  
WHY SENTENCE SHOULD NOT BE PRONOUNCED. THE COURT ORDERED THE FOLLOWING  
JUDGMENT:

AS TO COUNT (01):

IMPOSITION OF SENTENCE SUSPENDED

DEFENDANT PLACED ON FORMAL PROBATION

FOR A PERIOD OF 003 YEARS UNDER THE FOLLOWING TERMS AND CONDITIONS:

SERVE 040 DAYS IN LOS ANGELES COUNTY JAIL

DEFENDANT GIVEN TOTAL CREDIT FOR 40 DAYS IN CUSTODY 20 DAYS ACTUAL CUSTODY AND  
20 DAYS GOOD TIME/WORK TIME

PLUS \$40.00 COURT OPERATIONS ASSESSMENT (PURSUANT TO 1465.8(A)(1) P.C.)

\$30.00 CRIMINAL CONVICTION ASSESSMENT (PURSUANT TO 70373 G.C.)

CASE NO. BA399988  
DEF NO. 01

DATE PRINTED 05/21/15

PERFORM 300 HOURS OF STRUCTURED COMMUNITY SERVICE

TOTAL DUE: \$70.00

IN ADDITION:

-THE DEFENDANT IS TO PAY A RESTITUTION FINE PURSUANT TO SECTION 1202.4(B) PENAL CODE IN THE AMOUNT OF \$ 300.00.

-DEFENDANT IS ORDERED TO PAY A PROBATION REVOCATION RESTITUTION FINE PURSUANT TO PENAL CODE SECTION 1202.44, IN THE AMOUNT OF \$ 300.00. THIS FINE SHALL BECOME EFFECTIVE UPON THE REVOCATION OF PROBATION.

-MAKE RESTITUTION TO VICTIM PURSUANT TO PC SECTION 1202.4(F) IN THE STIPULATED SUM OF \$ 21,400.00 TO RANCHO FEDERAL CREDIT UNION.

-DEFENDANT TO REPORT TO THE PROBATION OFFICER WITHIN 48 HOURS.

-SEEK AND MAINTAIN TRAINING, SCHOOLING OR EMPLOYMENT AS APPROVED BY THE PROBATION OFFICER.

-MAINTAIN RESIDENCE AS APPROVED BY THE PROBATION OFFICER.

-KEEP PROBATION OFFICER ADVISED OF YOUR RESIDENCE AND WORK AND HOME TELEPHONE NUMBERS AT ALL TIMES.

-SUPPORT DEPENDENTS AS DIRECTED BY THE PROBATION OFFICER.

-SUBMIT YOUR PERSON AND PROPERTY TO SEARCH AND SEIZURE AT ANY TIME OF THE DAY OR NIGHT, BY ANY PROBATION OFFICER OR OTHER PEACE OFFICER, WITH OR WITHOUT A WARRANT, PROBABLE CAUSE OR REASONABLE SUSPICION.

-NOT OWN, USE OR POSSESS ANY DANGEROUS OR DEADLY WEAPONS, INCLUDING ANY FIREARMS, KNIVES OR OTHER CONCEALABLE WEAPONS.

-USE ONLY YOUR TRUE NAME, STATED TO BE DONALD BATTLES.

-USE ONLY TRUE NAME, BIRTHDATE AND ADDRESS, AND DO NOT GIVE ANY FALSE INFORMATION TO ANY PEACE OFFICER AT ANY TIME.

-DO NOT DRIVE A MOTOR VEHICLE WITHOUT A VALID DRIVER'S LICENSE IN YOUR POSSESSION OR WITHOUT LIABILITY INSURANCE IN AT LEAST THE MINIMUM AMOUNTS REQUIRED BY LAW.

COURT ORDERS AND FINDINGS:

-PURSUANT TO PC SECTION 296, THE DEFENDANT IS ORDERED TO PROVIDE BUCCAL SWAB SAMPLES, A RIGHT THUMB PRINT, A FULL PALM PRINT

CASE NO. BA399988  
DEF NO. 01

DATE PRINTED 05/21/15

IMPRESSION OF EACH HAND, ANY BLOOD SPECIMENS OR OTHER BIOLOGICAL  
SAMPLES AS REQUIRED BY THIS SECTION FOR LAW ENFORCEMENT  
IDENTIFICATION.

-OBEY ALL LAWS AND ORDERS OF THE COURT.

-OBEY ALL RULES AND REGULATIONS OF THE PROBATION DEPARTMENT.

-DEFENDANT ACKNOWLEDGES TO THE COURT THAT THE DEFENDANT  
UNDERSTANDS AND ACCEPTS ALL THE PROBATION CONDITIONS, AND  
DEFENDANT AGREES TO ABIDE BY SAME.

- THERE BEING NO OBJECTION BY THE PEOPLE, DEFENDANT IS PERMITTED  
TO PERFORM THE COMMUNITY SERVICE THROUGH STAIRWAY TO VICTORY  
CHURCH.

ANY REMAINING COUNTS/ALLEGATIONS ARE ORDERED DISMISSED/STRICKEN  
PURSUANT TO PENAL CODE SECTION 1385.

THE COURT HAS READ AND CONSIDERED THE PROBATION REPORT.

NUNC PRO TUNC LANGUAGE IS GENERATED IN ERROR.

COUNT (01): DISPOSITION: CONVICTED

REMAINING COUNTS DISMISSED.

COUNT (02): DISMISSED DUE TO PLEA NEGOTIATION

DMV ABSTRACT NOT REQUIRED

NEXT SCHEDULED EVENT:  
PROBATION IN EFFECT

NEXT SCHEDULED EVENT:

OR REVOKED

CUSTODY STATUS: ON PROBATION

04/10/15 ARREST DISPOSITION REPORT SENT VIA FILE TRANSFER TO DEPARTMENT OF  
JUSTICE