

**OFFICE OF GENERAL COUNSEL
ROUTING AND TRANSMITTAL SLIP
DELEGATION OF AUTHORITY SUP 27 SUBMISSION**

(CHECK ONE)

- SUP 27(a) Notice of Prohibition
- SUP 27(b)(1) Final Order of Prohibition Based on Conviction
- SUP 27(b)(2) Final Order of Prohibition Based on Consent

Date: July 1, 2016

From: Associate General Counsel Alan Burch

Prohibited Party: Roxana Almonte

Credit Union: Hudson Valley Federal Credit Union

Attachment: Notice of Prohibition

ROUTING ORDER	DATE IN	INITIAL	ADDRESSEE	COMMENTS
1	7/5/16	YLR for	GENERAL COUNSEL	<input checked="" type="checkbox"/> GC Concurs
2			CHAIRMAN	
3			BOARD MEMBER	
4			BOARD MEMBER	
5			BOARD SECRETARY	

**UNITED STATES OF AMERICA
NATIONAL CREDIT UNION ADMINISTRATION
Alexandria, Virginia**

In the Matter of)
)
)

Roxana Almonte)
)

Docket No.: 16-0133-R1

NOTICE OF PROHIBITION

WHEREAS on or about July 9, 2015, Roxana Almonte ("Almonte") was sentenced on the charge of Computer Trespass, N.Y. Penal Law § 156.10(2), a charge to which she previously pleaded guilty, in the County Court, County of Ulster, State of New York, in connection with her employment at Hudson Valley Federal Credit Union:

WHEREAS a violation of N.Y. Penal Law § 156.10(2) is a criminal offense involving dishonesty and breach of trust:

NOW, THEREFORE, YOU ARE HEREBY NOTIFIED THAT:

1. Pursuant to 12 U.S.C. § 1785(d)(1)(A) and 12 U.S.C. § 1829(a)(1)(A), Almonte is prohibited from becoming an "institution affiliated party" of any insured depository institution, as defined in 12 U.S.C. § 1786(r); otherwise participating, directly or indirectly, in the conduct of the affairs of any insured depository institution; and owning or controlling, directly or indirectly, any insured depository institution;

2. Pursuant to 12 U.S.C. § 1785(d)(1)(B) and 12 U.S.C. § 1829(a)(1)(B), no insured depository institution may permit Almonte to engage in any conduct or continue in any relationship prohibited in paragraph 1 above:

3. Pursuant to 12 U.S.C. § 1785(d)(3) and 12 U.S.C. § 1829(b), whoever knowingly violates paragraph 1 or 2 above is subject to a fine of not more than \$1 million for each day such prohibition is violated, or imprisonment for not more than five (5) years, or both;

4. The criminal court document, Case No. C14-633, is made a part hereof and is incorporated herein by reference: and

ISSUED this 28th day of June, 2016.

NATIONAL CREDIT UNION ADMINISTRATION BOARD

By: 

Lawrence Blankenberger
Regional Director
NCUA Region I

STATE OF NEW YORK }
 } SS. :
COUNTY OF ULSTER }

THE PEOPLE OF THE STATE OF NEW YORK

- against -

ROXANA ALMONTE

Indictment #153-2014
Case #C14-633
DOB:

I, NINA POSTUPACK, Clerk of the County of Ulster and also Clerk of the Supreme and County Courts, within and for said County BEING COURTS OF RECORDS, DO HEREBY CERTIFY, that the Records of Cases on Indictment on file in this office show that: INDICTMENT NO. 153-2014 wherein one ROXANA ALMONTE was charged with the crime of:

FIRST COUNT:	GRAND LARCENY IN THE THIRD DEGREE (PL 155.35(1))
SECOND COUNT:	COMPUTER TRESPASS (PL 156.10(1))
THIRD COUNT:	COMPUTER TRESPASS (PL 156.10(1))
FOURTH COUNT:	COMPUTER TRESPASS (PL 156.10(2))
FIFTH COUNT:	COMPUTER TRESPASS (PL 156.10(2))
SIXTH COUNT:	UNLAWFUL DUPLICATION OF COMPUTER RELATED MATERIAL IN THE FIRST DEGREE (PL 156.30(2))
SEVENTH COUNT:	UNLAWFUL DUPLICATION OF COMPUTER RELATED MATERIAL IN THE FIRST DEGREE (PL 156.30(2))

was filed in Supreme Court on October 30, 2014 and moved to County Court on
October 30, 2014.

Whereupon in County Court on November 7, 2014 said defendant entered a plea of not guilty to the offenses which plea was subsequently withdrawn in County Court and a plea of guilty to FOURTH COUNT: COMPUTER TRESPASS (PL 156.10(2)), IN FULL SATISFACTION AND WAIVER OF APPEAL SIGNED on March 6, 2015.

Whereupon in County Court on July 9, 2015 said defendant was sentenced to 5 YEARS PROBATION, 4 MONTHS ULSTER COUNTY JAIL, WEEKENDS (FRIDAY 9:00 P.M. – SUNDAY 9:00 P.M.) \$37,984.67 RESTITUTION, \$300.00 SURCHARGE, \$25.00 CVF, AND \$50.00 DNA – TO BE PAID OVER PERIOD OF PROBATION.

Given under my hand and seal this 9th day of February 2016.

NINA POSTUPACK
County Clerk, Ulster County

By: Alice Kankis
Chief Deputy County Clerk