

**UNITED STATES OF AMERICA  
NATIONAL CREDIT UNION ADMINISTRATION  
Alexandria, Virginia**

\_\_\_\_\_ )  
In the Matter of )

Mireya Guadalupe Gonzalez )  
\_\_\_\_\_ )

Docket No.: 17-0007-R5

**NOTICE OF PROHIBITION**

WHEREAS on or about February 1, 2012, Mireya Guadalupe Gonzalez (“Gonzalez”) was sentenced on the charges of Embezzlement from Federal Credit Union and Aiding and Abetting and Causing an Act to Be Done, 18 U.S.C. §§ 657, 2, and Subscription to False Income Tax Return and Aiding and Abetting and Causing an Act to Be Done, 26 U.S.C. § 7206(1); 18 U.S.C. § 2, charges to which she previously pleaded guilty, in the United States District Court for the Central District of California, in connection with her employment at Sharebuilders Federal Credit Union;

WHEREAS a violation of 18 U.S.C. §§ 657, 2 and 26 U.S.C. § 7206(1) are criminal offenses involving dishonesty and breach of trust;

NOW, THEREFORE, YOU ARE HEREBY NOTIFIED THAT:

1. Pursuant to 12 U.S. C. § 1785(d)(1)(A) and 12 U.S.C. § 1829(a)(1)(A), Gonzalez is prohibited from becoming an “institution affiliated party” of any insured depository institution, as defined in 12 U.S.C. § 1786(r); otherwise participating, directly or indirectly, in the conduct of

the affairs of any insured depository institution; and owning or controlling, directly or indirectly, any insured depository institution;


2. Pursuant to 12 U.S. C. § 1785(d)(1)(B) and 12 U.S.C. § 1829(a)(1)(B), no insured depository institution may permit Gonzalez to engage in any conduct or continue in any relationship prohibited in paragraph 1 above;

3. Pursuant to 12 U.S.C. § 1785(d)(3) and 12 U.S.C. § 1829(b), whoever knowingly violates paragraph 1 or 2 above is subject to a fine of not more than \$1 million for each day such prohibition is violated, or imprisonment for not more than five (5) years, or both;

4. The "Judgment and Probation/Commitment Order" document, Case No. CR-10-1116-DMG, is made a part hereof and is incorporated herein by reference; and

ISSUED this 21<sup>st</sup> day of February, 2017.

**NATIONAL CREDIT UNION ADMINISTRATION**

By:   
Rob F. Robine  
Trial Attorney  
NCUA Office of General Counsel

**United States District Court  
Central District of California**

UNITED STATES OF AMERICA vs.

Docket No. CR 10-1116-DMG

Defendant MIREYA GUADALUPE GONZALEZ  
akas: \_\_\_\_\_

Social Security No. 2 9 8 9  
(Last 4 digits)

**JUDGMENT AND PROBATION/COMMITMENT ORDER**

In the presence of the attorney for the government, the defendant appeared in person on this date.

MONTH	DAY	YEAR
FEB	1	2012

**COUNSEL**

David A. Elden, Retained

(Name of Counsel)

**PLEA**

**GUILTY**, and the court being satisfied that there is a factual basis for the plea.  **NOLO**  **NOT**  
**CONTENDERE** **GUILTY**

**FINDING**

There being a finding/verdict of **GUILTY**, defendant has been convicted as charged of the offense(s) of:  
*Embezzlement from Federal Credit Union and Aiding and Abetting and Causing an Act to Be Done in violation of Title 18 U.S.C. §§ 657, 2; and Subscription to False Income Tax Return and Aiding and Abetting and Causing an Act to Be Done in violation of Title 26 U.S.C. § 7206(1); 18 U.S.C. § 2 as charged in the Indictment.*

**JUDGMENT  
AND PROB/  
COMM  
ORDER**

The Court asked whether there was any reason why judgment should not be pronounced. Because no sufficient cause to the contrary was shown, or appeared to the Court, the Court adjudged the defendant, **MIREYA GUADALUPE GONZALEZ**, guilty as charged and convicted and ordered that: Pursuant to the Sentencing Reform Act of 1984, it is the judgment of the Court that the defendant is hereby committed on Counts 13 and 21 of the Indictment to the custody of the Bureau of Prisons to be imprisoned for a term of: **eighteen (18) months**. This term consists of 18 months on each of Counts 13 and 21 of the Indictment, to be served concurrently.

It is ordered that the defendant shall pay to the United States a special assessment of \$200, which is due immediately. Any unpaid balance shall be due during the period of imprisonment, at the rate of not less than \$25 per quarter, and pursuant to the Bureau of Prisons' Inmate Financial Responsibility Program.

It is ordered that the defendant shall pay restitution in the total amount of \$868,122.93 pursuant to 18 U.S.C. § 3663A AND \$301,244, pursuant to 18 U.S.C. § 3663.

The amount of restitution ordered shall be paid as follows and in the following sequential order:

<u>Victim</u>	<u>Amount</u>
National Credit Union Administration	\$218,122.93
Internal Revenue Service	\$301,244.00
Cumis Insurance Society, Inc.	\$650,000.00

Restitution shall be due during the period of imprisonment, at the rate of not less than \$25 per quarter, and pursuant to the Bureau of Prisons' Inmate Financial Responsibility Program. If any amount of the restitution remains unpaid after release from custody, nominal monthly payments of at least 10% of defendant's gross monthly income, but not less than \$50, whichever is greater, shall be made during the period of supervised release and shall begin 30 days after the

commencement of supervision. Nominal restitution payments are ordered as the Court finds that the defendant's economic circumstances do not allow for either immediate or future payment of the amount ordered.

The amount of restitution shall be paid as set forth on the list in this Judgment. If the defendant makes a partial payment, payments shall be remitted to the victims in the order in which they are listed. Therefore, for example, all amounts owed to the National Credit Union Administration shall be paid before any payments are remitted to the next victim in order on the list.

The defendant shall be held jointly and severally liable with co-participant, Jorge Gonzalez [Case No. CR-10-1116], for the amount of restitution ordered to the IRS in this judgment. The victims' recovery is limited to the amount of their loss and the defendant's liability for restitution ceases if and when the victims receive full restitution.

Pursuant to 18 U.S.C. § 3612(f)(3)(A), interest on the restitution ordered is waived because the defendant does not have the ability to pay interest. Payments may be subject to penalties for default and delinquency pursuant to 18 U.S.C. § 3612(g).

The defendant shall comply with General Order No. 01-05.

Pursuant to Guideline Section 5E1.2(a), all fines are waived as the Court finds that the defendant does not have the ability to pay a fine in addition to restitution.

Upon release from imprisonment, the defendant shall be placed on supervised release for a term of **FIVE years**. This term consists of **five years** on Count 13 and **one year** on Count 21 of the Indictment, all such terms to run concurrently under the following terms and conditions:

1. The defendant shall comply with the rules and regulations of the U. S. Probation Office and General Order 05-02;
2. The defendant shall refrain from any unlawful use of a controlled substance. The defendant shall submit to one drug test within 15 days of release from imprisonment and at least two periodic drug tests thereafter, not to exceed eight tests per month, as directed by the Probation Officer;
3. During the period of community supervision, the defendant shall pay the special assessment and restitution in accordance with this judgment's orders pertaining to such payment;
4. Following her release from prison, the defendant shall participate for a period of TWELVE months in a home detention program which may include electronic monitoring, GPS, or voice recognition and shall observe all rules of such program, as directed by the Probation Officer. The defendant shall maintain a residential telephone line without devices and/or services that may interrupt operation of the monitoring equipment. During this period of home detention, the defendant shall be permitted to go to and from any medical or counseling appointments for herself or her children;
5. The defendant shall pay the costs of Location Monitoring to the contract vendor, not to exceed the sum of \$12 for each day of participation. The defendant shall provide payment and proof of payment as directed by the Probation Officer;
6. The defendant shall truthfully and timely file and pay taxes owed for the years of conviction; and shall truthfully and timely file and pay taxes during the period of community supervision. Further, the defendant shall show proof to the Probation Officer of compliance with this order;

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7. The defendant shall not be employed by, affiliated with, own or control, or otherwise participate, directly or indirectly, in the conduct of the affairs of any financial institution insured by the Federal Deposit Insurance Corporation;
8. The defendant shall not be employed in any capacity wherein she has custody, control or management of her employer's funds;
9. The defendant shall apply all monies received from income tax refunds, lottery winnings, inheritance, judgments, and any anticipated or unexpected financial gains to the outstanding court-ordered financial obligation; and
10. The defendant shall cooperate in the collection of a DNA sample from the defendant.

It is further ordered that the defendant surrender herself to the institution designated by the Bureau of Prisons on or before 12 noon, on April 4, 2012. In the absence of such designation, the defendant shall report on or before the same date and time, to the United States Marshal located at the United States Court House, 333 Las Vegas Blvd. South, Suite 2058, Las Vegas, NV 89101. Bond shall be exonerated upon surrender.

The Court recommends to the Bureau of Prisons that this defendant be designated to a federal correctional facility in the Las Vegas, NV area.

The Statement of Reasons shall be provided to the United States Probation Office, Bureau of Prisons and the U. S. Sentencing Commission.

The Court authorizes the Probation Office to disclose the Presentence Report to the Bureau of Prisons and the U. S. Sentencing Commission.

The Court informs the defendant of her right to appeal.

In addition to the special conditions of supervision imposed above, it is hereby ordered that the Standard Conditions of Probation and Supervised Release within this judgment be imposed. The Court may change the conditions of supervision, reduce or extend the period of supervision, and at any time during the supervision period or within the maximum period permitted by law, may issue a warrant and revoke supervision for a violation occurring during the supervision period.

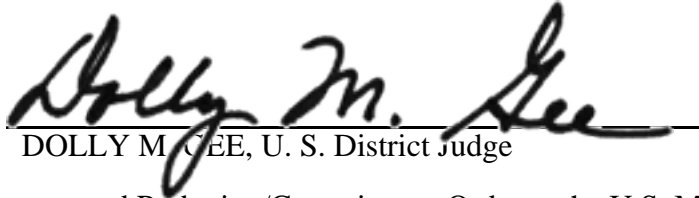


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February 1, 2012

Date

  
DOLLY M. GEE, U. S. District Judge

It is ordered that the Clerk deliver a copy of this Judgment and Probation/Commitment Order to the U.S. Marshal or other qualified officer.

Clerk, U.S. District Court

February 1, 2012

Filed Date

By /s/ V.R. Vallery

Deputy Clerk

The defendant shall comply with the standard conditions that have been adopted by this court (set forth below).

**STANDARD CONDITIONS OF PROBATION AND SUPERVISED RELEASE**

While the defendant is on probation or supervised release pursuant to this judgment:

- |  |  |
|--|--|
| <ol style="list-style-type: none"> <li>1. The defendant shall not commit another Federal, state or local crime;</li> <li>2. the defendant shall not leave the judicial district without the written permission of the court or probation officer;</li> <li>3. the defendant shall report to the probation officer as directed by the court or probation officer and shall submit a truthful and complete written report within the first five days of each month;</li> <li>4. the defendant shall answer truthfully all inquiries by the probation officer and follow the instructions of the probation officer;</li> <li>5. the defendant shall support his or her dependents and meet other family responsibilities;</li> <li>6. the defendant shall work regularly at a lawful occupation unless excused by the probation officer for schooling, training, or other acceptable reasons;</li> <li>7. the defendant shall notify the probation officer at least 10 days prior to any change in residence or employment;</li> <li>8. the defendant shall refrain from excessive use of alcohol and shall not purchase, possess, use, distribute, or administer any narcotic or other controlled substance, or any paraphernalia related to such substances, except as prescribed by a physician;</li> <li>9. the defendant shall not frequent places where controlled substances are illegally sold, used, distributed or administered;</li> </ol> | <ol style="list-style-type: none"> <li>10. the defendant shall not associate with any persons engaged in criminal activity, and shall not associate with any person convicted of a felony unless granted permission to do so by the probation officer;</li> <li>11. the defendant shall permit a probation officer to visit him or her at any time at home or elsewhere and shall permit confiscation of any contraband observed in plain view by the probation officer;</li> <li>12. the defendant shall notify the probation officer within 72 hours of being arrested or questioned by a law enforcement officer;</li> <li>13. the defendant shall not enter into any agreement to act as an informer or a special agent of a law enforcement agency without the permission of the court;</li> <li>14. as directed by the probation officer, the defendant shall notify third parties of risks that may be occasioned by the defendant's criminal record or personal history or characteristics, and shall permit the probation officer to make such notifications and to conform the defendant's compliance with such notification requirement;</li> <li>15. the defendant shall, upon release from any period of custody, report to the probation officer within 72 hours;</li> <li>16. and, <u>for felony cases only</u>: not possess a firearm, destructive device, or any other dangerous weapon.</li> </ol> |
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The defendant will also comply with the following special conditions pursuant to General Order 01-05 (set forth below).

### **STATUTORY PROVISIONS PERTAINING TO PAYMENT AND COLLECTION OF FINANCIAL SANCTIONS**

The defendant shall pay interest on a fine or restitution of more than \$2,500, unless the court waives interest or unless the fine or restitution is paid in full before the fifteenth (15<sup>th</sup>) day after the date of the judgment pursuant to 18 U.S.C. §3612(f)(1). Payments may be subject to penalties for default and delinquency pursuant to 18 U.S.C. §3612(g). Interest and penalties pertaining to restitution, however, are not applicable for offenses completed prior to April 24, 1996.

If all or any portion of a fine or restitution ordered remains unpaid after the termination of supervision, the defendant shall pay the balance as directed by the United States Attorney's Office. 18 U.S.C. §3613.

The defendant shall notify the United States Attorney within thirty (30) days of any change in the defendant's mailing address or residence until all fines, restitution, costs, and special assessments are paid in full. 18 U.S.C. §3612(b)(1)(F).

The defendant shall notify the Court through the Probation Office, and notify the United States Attorney of any material change in the defendant's economic circumstances that might affect the defendant's ability to pay a fine or restitution, as required by 18 U.S.C. §3664(k). The Court may also accept such notification from the government or the victim, and may, on its own motion or that of a party or the victim, adjust the manner of payment of a fine or restitution-pursuant to 18 U.S.C. §3664(k). See also 18 U.S.C. §3572(d)(3) and for probation 18 U.S.C. §3563(a)(7).

Payments shall be applied in the following order:

1. Special assessments pursuant to 18 U.S.C. §3013;
2. Restitution, in this sequence:
  - Private victims (individual and corporate),
  - Providers of compensation to private victims,
  - The United States as victim;
3. Fine;
4. Community restitution, pursuant to 18 U.S.C. §3663(c); and
5. Other penalties and costs.

### **SPECIAL CONDITIONS FOR PROBATION AND SUPERVISED RELEASE**

As directed by the Probation Officer, the defendant shall provide to the Probation Officer: (1) a signed release authorizing credit report inquiries; (2) federal and state income tax returns or a signed release authorizing their disclosure; and (3) an accurate financial statement, with supporting documentation as to all assets, income and expenses of the defendant. In addition, the defendant shall not apply for any loan or open any line of credit without prior approval of the Probation Officer.

The defendant shall maintain one personal checking account. All of defendant's income, "monetary gains," or other pecuniary proceeds shall be deposited into this account, which shall be used for payment of all personal expenses. Records of all other bank accounts, including any business accounts, shall be disclosed to the Probation Officer upon request.

The defendant shall not transfer, sell, give away, or otherwise convey any asset with a fair market value in excess

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of \$500 without approval of the Probation Officer until all financial obligations imposed by the Court have been satisfied in full. These conditions are in addition to any other conditions imposed by this judgment.

**RETURN**

I have executed the within Judgment and Commitment as follows:

Defendant delivered on \_\_\_\_\_ to \_\_\_\_\_

Defendant noted on appeal on \_\_\_\_\_

Defendant released on \_\_\_\_\_

Mandate issued on \_\_\_\_\_

Defendant's appeal determined on \_\_\_\_\_

Defendant delivered on \_\_\_\_\_ to \_\_\_\_\_

at \_\_\_\_\_

the institution designated by the Bureau of Prisons, with a certified copy of the within Judgment and Commitment.

United States Marshal

By \_\_\_\_\_  
Deputy Marshal

\_\_\_\_\_  
Date

**CERTIFICATE**

I hereby attest and certify this date that the foregoing document is a full, true and correct copy of the original on file in my office, and in my legal custody.

Clerk, U.S. District Court

By \_\_\_\_\_  
Deputy Clerk

\_\_\_\_\_  
Filed Date



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**FOR U.S. PROBATION OFFICE USE ONLY**

Upon a finding of violation of probation or supervised release, I understand that the court may (1) revoke supervision, (2) extend the term of supervision, and/or (3) modify the conditions of supervision.

These conditions have been read to me. I fully understand the conditions and have been provided a copy of them.

(Signed) \_\_\_\_\_  
Defendant

\_\_\_\_\_  
Date

\_\_\_\_\_  
U. S. Probation Officer/Designated Witness

\_\_\_\_\_  
Date